

**Law Relating to Investment, Securities, Corporate Finance, and
Competition**

B.A. , LL.B. (Hons.)

B.B.A. , LL.B. (Hons.)

9th Semester Course

Faculty

Mr. Abhishek Kumar



Chanakya National Law University, Patna

Module I: Introduction

- Corporate Finance: Meaning, Importance and Scope
- Objectives of Corporate Finance
- Capitalization
- Shares and Debentures: Nature and Types
- Provisions of the Companies Act, 2013

Module II: Primary Market

- Primary Market: Meaning and Functions
- Indian Capital Market,
- Authority Governing Capital Market in India
- Capital Market Instruments: Equities, Preference Shares, Shares with Differential Voting Rights

Module III: Secondary Market

- Secondary Market Development of Stock market in India
- Stock market & its operations
- Trading Mechanism
- Basis of Sensex
- Risk Management in Secondary Market

Module IV: Mutual Funds

- Introduction
- Types
- Risks Involved
- Setting Up of Mutual Fund
- Regulatory Framework

Module V: Venture Capital

- Concept
- Regulatory Framework
- Registration
- Investment Conditions and Restrictions
- Foreign Capital Investors

Module VI: Legal Scenario

- The SEBI Act, 1992

- The Companies Act, 2013
- The Securities Contracts (Regulation) Act, 1956

○ **Reading Materials:**

1. E. Gordon, Capital Market in India; Himalaya Publishing House, Ramdoot, K. Natarajan Dr. Bhalerao Marg, Girgaon, Mumbai- 400004.
2. Sanjeev Aggarwal: Guide to Indian Capital Market; Bharat Law House, 22, Tarun Enclave, Pitampura, New Delhi- 110 034.
3. V.L. Iyer: SEBI Practice Manual; Taxman Allied Service (P) Ltd., 59/32, New Rohtak Road, New Delhi- 110005.
4. M.Y. Khan: Indian Financial Systems; Tata McGraw Hill, 4/12, Asaf Ali Road, New Delhi- 110 002.
5. S. Suryanarayanan: SEBI – Law, Practice & Procedure; Commercial Law Publishers (India) V. Varadarajan Pvt. Ltd., 151, Rajindra Market, Opp. Tis Hazari Court, Delhi- 110054.
6. Mamta Bhargava: Compliances and Procedures under SEBI Law; Shreeji Publishers, 8/ 294, Sunder Vihar, New Delhi- 110 087.
7. Taxmann: SEBI Manual.
8. Asim Kumar Mishra: Venture Capital Financing in India; Shipra Publications, 115A, Vikas Marg, Shakarpur, Delhi- 110092.
9. Vishal Saraogi: Capital Markets and Securities Laws simplified, Lawpoint Publication, 6C, R.N. Mukherjee Road, Kolkata-70000.
10. Ramaiya A, Guide to the Companies Act (1998), Vol. I, II and III.